

General information about company

Scrip code	543942
NSE Symbol	UTKARSHBNK
MSEI Symbol	NA
ISIN	INE735W01017
Name of the company	Utkarsh Small Finance Bank Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	49	10.6
As on the end of previous 1st quarter	49	10.25
As on the end of previous 2nd quarter	0	0
As on the end of previous 3rd quarter	0	0
As on the end of previous 4th quarter	0	0

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	759272216			759272216	69.28	759272216		759272216	69.28
(B)	Public	163613	336632447			336632447	30.72	336632447		336632447	30.72
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	163614	1095904663			1095904663	100	1095904663		1095904663	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				69.28	759272216	100			759272216			
(B)	Public				30.72	167818500	49.85			336632447	0	0	0
(C)	Non Promoter Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100	927090716	84.6			1095904663	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	759272216			759272216	69.28	759272216		759272216	69.28
Sub-Total (A) (1)		1	759272216			759272216	69.28	759272216		759272216	69.28
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	759272216			759272216	69.28	759272216		759272216	69.28
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	7	79090835			79090835	7.22	79090835		79090835	7.22
(c)	Alternate Investment Funds	3	18562862			18562862	1.69	18562862		18562862	1.69
(e)	Insurance Companies	4	44766955			44766955	4.08	44766955		44766955	4.08
Sub-Total (B) (1)		14	142420652			142420652	13	142420652		142420652	13

(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	10	22649917			22649917	2.07	22649917		22649917	2.07
(e)	Foreign Portfolio Investors Category II	1	15613			15613	0	15613		15613	0
Sub-Total (B) (2)		11	22665530			22665530	2.07	22665530		22665530	2.07
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	1	187961			187961	0.02	187961		187961	0.02
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	161238	57291475			57291475	5.23	57291475		57291475	5.23
(h)	Resident Individuals holding nominal share capital in excess of Rs 2 lakhs	190	13570513			13570513	1.24	13570513		13570513	1.24
(i)	Non Resident Indians (NRIs)	788	1604005			1604005	0.15	1604005		1604005	0.15
(k)	Foreign Companies	5	91821955			91821955	8.38	91821955		91821955	8.38
(l)	Bodies	190	4913292			4913292	0.45	4913292		4913292	0.45

	Corporate										
(m)	Any Other (specify)	1176	2157064			2157064	0.2	2157064		2157064	0.2
Sub-Total (B) (4)		163588	171546265			171546265	15.65	171546265		171546265	15.65
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)+(B) (4)		163613	336632447			336632447	30.72	336632447		336632447	30.72
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		163614	1095904663			1095904663	100	1095904663		1095904663	100
Total (A+B+C)		163614	1095904663			1095904663	100	1095904663		1095904663	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(d)				69.28	759272216	100			759272216			
Sub-Total (A)(1)				69.28	759272216	100			759272216			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A) (A)(1)+(A) (2)				69.28	759272216	100			759272216			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				7.22	20999550	26.55			79090835	0	0	0
(c)				1.69	3799950	20.47			18562862	0	0	0

(e)				4.08	35938170	80.28			44766955	0	0	0
Sub-Total (B)(1)				13	60737670	42.65			142420652	0	0	0
(2)	Institutions (Foreign)											
(d)				2.07	8999850	39.73			22649917	0	0	0
(e)				0	0	0			15613	0	0	0
Sub-Total (B)(2)				2.07	8999850	39.71			22665530	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(b)				0.02	1	0			187961	0	0	0
(g)				5.23	20005	0.03			57291475	0	0	0
(h)				1.24	3439119	25.34			13570513	0	0	0
(i)				0.15	0	0			1604005	0	0	0
(k)				8.38	91821955	100			91821955	0	0	0
(l)				0.45	2799900	56.99			4913292	0	0	0
(m)				0.2	0	0			2157064	0	0	0
Sub-Total (B)(4)				15.65	98080980	57.17			171546265	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				30.72	167818500	49.85			336632447	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100	927090716	84.6			1095904663			
Total (A+B+C)				100	927090716	84.6			1095904663			

Disclosure of notes on shareholding pattern	Textual Information(1)	
Disclosure of notes on shareholding pattern for company remarks explanatory	Textual Information(1)	

Text Block

Textual Information()	<p>A) Table I - Summary Statement holding of specified securities - Promoter & Promoter Group: 759,272,222 Equity Shares are held by our Promoter inclusive of one Equity Share each is held by Mr. Govind Singh, Ms. Revati Govind Singh, Mr. Trilok Nath Shukla, Mr. Ashwani Kumar, Mr. Rahul Dey and Mr. Raghvendra Singh., as nominees on behalf of our Promoter, who is the beneficial owner of such Equity Shares. Except Mr. Govind Singh the above mentioned individuals are categorized under "Resident Public Category"</p> <p>B) Table I - Summary Statement holding of specified securities - Public: #Mr. Govind Singh holds 187,960 Equity Shares in his individual capacity and 1 Equity Share as a nominee on behalf of our Promoter. Further, Mr. Rahul Dey holds 10,000 Equity Shares in his individual capacity and 1 Equity Share as a nominee on behalf of our Promoter</p> <p>C) Table VI - Statement showing foreign ownership limits - Approved Limit: # Details of sectoral / statutory cap prescribed by Government / Regulatory Authorities</p>
Textual Information()	<ol style="list-style-type: none">1. Multiple folios have been consolidated on the basis of PAN for the Public category.2. 10,84,750 Equity shares of face value Rs. 10/- each were issued and allotted pursuant to ESOP Scheme of the company and on September 27, 2023. The same was intimated to the Stock Exchanges. Final Listing and Trading approval from the Stock Exchanges was received on October 11, 2023 and the same would reflect in next quarterly reporting.

Any Other (specify)

Searial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	UTKARSH COREINVEST LIMITED	
PAN (II)	██████████	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	759272216	759272216
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	759272216	759272216
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	69.28	69.28
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	759272216	759272216
Class eg:y		

Total	759272216	759272216
Total as a % of Total Voting rights	69.28	69.28
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	69.28	69.28
Number of Locked in shares (XII)		
No. (a)	759272216	759272216
As a % of total Shares held (b)	100	100
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		

As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	759272216	759272216
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Mutual Funds

Searial No.	1	2	
Name of the Shareholders (I)	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C	MIRAE ASSET TAX SAVER FUND	Click here to go back
PAN (II)	██████████	██████████	Total
No. of fully paid up equity shares held (IV)	11558041	49245794	60803835
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	11558041	49245794	60803835
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.05	4.49	5.55
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	11558041	49245794	60803835
Class eg:y			
Total	11558041	49245794	60803835
Total as a % of Total Voting rights	1.05	4.49	5.55

No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.05	4.49	5.55
Number of Locked in shares (XII)			
No. (a)	3999900	3999900	7999800
As a % of total Shares held (b)	34.61	8.12	13.16
Number of equity shares held in dematerialized form (XIV)	11558041	49245794	60803835
Reason for not providing PAN			
Reason for not providing PAN			

Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub category (i)	0	0	0
Sub category (ii)	0	0	0
Sub category (iii)	0	0	0

Alternate Investment Funds

Searial No	1	
Name of the Shareholders (I)	AAVISHKAAR BHARAT FUND	Click here to go back
PAN (II)	██████████	Total
No. of fully paid up equity shares held (IV)	12962962	12962962
No. Of Partly paid-up equity shares held (V)		
No Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12962962	12962962
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.18	1.18
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	12962962	12962962
Class eg:y		
Total	12962962	12962962
Total as a % of Total Voting rights	1.18	1.18

No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.18	1.18
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	12962962	12962962
Reason for not providing PAN		
Reason for not providing PAN		

Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Foreign Portfolio Investors Category I

Searial No.	1	
Name of the Shareholders (I)	GOLDMAN SACHS FUNDS - GOLDMAN SACHS INDIA EQUITY P	Click here to go back
PAN (II)	██████████	Total
No. of fully paid up equity shares held (IV)	11785979	11785979
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	11785979	11785979
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.08	1.08
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	11785979	11785979
Class eg:y		
Total	11785979	11785979
Total as a % of Total Voting rights	1.08	1.08

No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.08	1.08
Number of Locked in shares (XII)		
No. (a)	3999900	3999900
As a % of total Shares held (b)	33.94	33.94
Number of equity shares held in dematerialized form (XIV)	11785979	11785979
Reason for not providing PAN		
Reason for not providing PAN		

Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Insurance Companies

Searial No.	1	2	
Name of the Shareholders (I)	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	BHARTI AXA LIFE INSURANCE COMPANY LTD	Click here to go back
PAN (II)	██████████	██████████	Total
No. of fully paid up equity shares held (IV)	11588711	23584905	35173616
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	11588711	23584905	35173616
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.06	2.15	3.21
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	11588711	23584905	35173616
Class eg:y			
Total	11588711	23584905	35173616
Total as a % of Total Voting rights	1.06	2.15	3.21

No Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.06	2.15	3.21
Number of Locked in shares (XII)			
No (a)	8402415	23584905	31987320
As a % of total Shares held (b)	72 51	100	90 94
Number of equity shares held in dematerialized form (XIV)	11588711	23584905	35173616
Reason for not providing PAN			
Reason for not providing PAN			

Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub category (i)	0	0	0
Sub category (ii)	0	0	0
Sub category (iii)	0	0	0

Foreign Companies

Searial No	1	2	3	4	
Name of the Shareholders (I)	OLYMPUS ACF PTE LIMITED	TRIODOS SICAV II TRIODOS MICROFINANCE FUND	GROWTH CATALYST PARTNERS LLC	RESPONSABILITY PARTICIPATIONS MAURITIUS	Click here to go back
PAN (II)	██████████	██████████	██████████	██████████	Total
No of fully paid up equity shares held (IV)	37037037	21117684	11683722	13444444	83282887
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	37037037	21117684	11683722	13444444	83282887
Shareholding as a % of total no of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.38	1.93	1.07	1.23	7.6
Number of Voting Rights held in each class of securities (IX)					
Class eg X	37037037	21117684	11683722	13444444	83282887
Class eg.y					
Total	37037037	21117684	11683722	13444444	83282887
Total as a % of Total Voting rights	3.38	1.93	1.07	1.23	7.6

No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.38	1.93	1.07	1.23	7.6
Number of Locked in shares (XII)					
No. (a)	37037037	21117684	11683722	13444444	83282887
As a % of total Shares held (b)	100	100	100	100	100
Number of equity shares held in dematerialized form (XIV)	37037037	21117684	11683722	13444444	83282887
Reason for not providing PAN					
Reason for not providing PAN					

Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

Any Other (specify)

Searial No	1	2	
Category	Clearing Members	HUF	
Category / More than 1 percentage	Category	Category	
Name of the Shareholders (I)			Click here to go back
PAN (II)			Total
No. of the Shareholders (I)	4	1172	1176
No of fully paid up equity shares held (IV)	4332	2152732	2157064
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4332	2152732	2157064
Shareholding as a % of total no of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.2	0.2
Number of Voting Rights held in each class of securities (IX)			
Class eg X	4332	2152732	2157064

Class e g y			
Total	4332	2152732	2157064
Total as a % of Total Voting rights	0	0.2	0.2
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No Of Shares Underlying Outstanding convertible securities and No Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0.2	0.2
Number of Locked in shares (XII)			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in	4332	2152732	2157064

dematerialized form (XIV)			
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

