

General information about company

Scrip code	543942
NSE Symbol	UTKARSHBNK
MSEI Symbol	NA
ISIN	INE735W01017
Name of the company	Utkarsh Small Finance Bank Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2024
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	49	6.07
As on the end of previous 1st quarter	49	10.25
As on the end of previous 2nd quarter	49	10.6
As on the end of previous 3rd quarter	49	9.32
As on the end of previous 4th quarter	0	0

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	759272222			759272222	68.94	759272222		759272222	68.94
(B)	Public	227885	342097333			342097333	31.06	342097333		342097333	31.06
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	227886	1101369555			1101369555	100	1101369555		1101369555	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				68.94	219752029	28.94			759272222			
(B)	Public				31.06					342097333	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100	219752029	19.95			1101369555	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	759272222			759272222	68.94	759272222		759272222	68.94
Sub-Total (A) (1)		1	759272222			759272222	68.94	759272222		759272222	68.94
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A) (2)		1	759272222			759272222	68.94	759272222		759272222	68.94
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	6	77416876			77416876	7.03	77416876		77416876	7.03
(c)	Alternate Investment Funds	1	10522221			10522221	0.96	10522221		10522221	0.96
(e)	Insurance Companies	5	22286531			22286531	2.02	22286531		22286531	2.02
Sub-Total (B) (1)		12	110225628			110225628	10.01	110225628		110225628	10.01
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	15	10935627			10935627	0.99	10935627		10935627	0.99
(e)	Foreign Portfolio Investors Category II	1	15613			15613	0	15613		15613	0
Sub-Total (B) (2)		16	10951240			10951240	0.99	10951240		10951240	0.99
(3)	Central Government / State Government(s)										
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter	1	93535			93535	0.01	93535		93535	0.01
Sub-Total (B) (3)		1	93535			93535	0.01	93535		93535	0.01
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	1	483545			483545	0.04	483545		483545	0.04

(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	223201	108930368			108930368	9.89	108930368		108930368	9.89
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	645	39357451			39357451	3.57	39357451		39357451	3.57
(i)	Non Resident Indians (NRIs)	1565	4349420			4349420	0.39	4349420		4349420	0.39
(j)	Foreign Nationals	1	10000			10000	0	10000		10000	0
(k)	Foreign Companies	3	51676781			51676781	4.69	51676781		51676781	4.69
(l)	Bodies Corporate	369	10771990			10771990	0.98	10771990		10771990	0.98
(m)	Any Other (specify)	2071	5247375			5247375	0.48	5247375		5247375	0.48
Sub-Total (B) (4)		227856	220826930			220826930	20.05	220826930		220826930	20.05
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		227885	342097333			342097333	31.06	342097333		342097333	31.06
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		227886	1101369555			1101369555	100	1101369555		1101369555	100
Total (A+B+C)		227886	1101369555			1101369555	100	1101369555		1101369555	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(d)				68.94	219752029	28.94			759272222			
Sub-Total (A)(1)				68.94	219752029	28.94			759272222			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				68.94	219752029	28.94			759272222			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				7.03					77416876	0	0	0
(c)				0.96					10522221	0	0	0
(e)				2.02					22286531	0	0	0
Sub-Total (B)(1)				10.01					110225628	0	0	0
(2)	Institutions (Foreign)											
(d)				0.99					10935627	0	0	0
(e)				0					15613	0	0	0
Sub-Total (B)(2)				0.99					10951240	0	0	0
(3)	Central Government / State Government(s)											
(c)				0.01					93535	0	0	0
Sub-Total (B)(3)				0.01					93535	0	0	0
(4)	Non-institutions											
(b)				0.04					483545	0	0	0
(g)				9.89					108930368	0	0	0
(h)				3.57					39357451	0	0	0
(i)				0.39					4349420	0	0	0
(j)				0					10000	0	0	0
(k)				4.69					51676781	0	0	0
(l)				0.98					10771990	0	0	0
(m)				0.48					5247375	0	0	0
Sub-Total (B)(4)				20.05					220826930	0	0	0

Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				31.06					342097333	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100	219752029	19.95			1101369555			
Total (A+B+C)				100	219752029	19.95			1101369555			
Disclosure of notes on shareholding pattern								Textual Information(1)				
Disclosure of notes on shareholding pattern for company remarks explanatory								Textual Information(1)				

Text Block

Textual Information()

In terms of provisions of Section 12(2) of the Banking Regulation Act, 1949 (Banking Regulations) read with Gazette Notification DBR.PSBD. No.1084/16.13.100/2016-17 dated July 21, 2016 (Gazette Notification) and Reserve Bank of India Guidelines on Acquisition and Holding of Shares or Voting Rights in Banking Companies dated January 16, 2023 (RBI Guidelines); the voting rights of a Promoter of the Bank are restricted to 26% of the total voting rights in the Bank.

Table VI - Statement showing foreign ownership limits - Approved Limit: # Details of sectoral / statutory cap prescribed by Government / Regulatory Authorities

Textual Information()

Multiple folios have been consolidated on the basis of PAN for the Public category.

Any Other (specify)

Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Utkarsh CoreInvest Limited	
PAN (II)	██████████	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	759272222	759272222
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	759272222	759272222
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	68.94	68.94
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	759272222	759272222
Class eg:y		
Total	759272222	759272222
Total as a % of Total Voting rights	68.94	68.94
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	68.94	68.94
Number of Locked in shares (XII)		
No. (a)	219752029	219752029

As a % of total Shares held (b)	28.94	28.94
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	759272222	759272222
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Mutual Funds

Serial No.	1	2	
Name of the Shareholders (I)	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C	MIRAE ASSET ELSS TAX SAVER FUND	Click here to go back
PAN (II)	██████████	██████████	Total
No. of fully paid up equity shares held (IV)	14229467	62096232	76325699
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	14229467	62096232	76325699
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.29	5.64	6.93
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	14229467	62096232	76325699
Class eg:y			
Total	14229467	62096232	76325699
Total as a % of Total Voting rights	1.29	5.64	6.93
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.29	5.64	6.93
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			

Number of equity shares held in dematerialized form (XIV)	14229467	62096232	76325699
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

Insurance Companies

Serial No.	1	
Name of the Shareholders (I)	BHARTI AXA LIFE INSURANCE COMPANY LTD	Click here to go back
PAN (II)	██████████	Total
No. of fully paid up equity shares held (IV)	12586538	12586538
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12586538	12586538
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.14	1.14
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	12586538	12586538
Class eg:y		
Total	12586538	12586538
Total as a % of Total Voting rights	1.14	1.14
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.14	1.14
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		

Number of equity shares held in dematerialized form (XIV)	12586538	12586538
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Foreign Companies

Serial No.	1	
Name of the Shareholders (I)	OLYMPUS ACF PTE LIMITED	Click here to go back
PAN (II)	██████████	Total
No. of fully paid up equity shares held (IV)	34201634	34201634
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	34201634	34201634
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.11	3.11
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	34201634	34201634
Class eg:y		
Total	34201634	34201634
Total as a % of Total Voting rights	3.11	3.11
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.11	3.11
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		

Number of equity shares held in dematerialized form (XIV)	34201634	34201634
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Any Other (specify)

Serial No.	1	2	3	
Category	HUF	Employees	Clearing Members	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				Click here to go back
PAN (II)				Total
No. of the Shareholders (I)	2063	1	7	2071
No. of fully paid up equity shares held (IV)	5244247	300	2828	5247375
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5244247	300	2828	5247375
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.48	0	0	0.48
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	5244247	300	2828	5247375
Class eg:y				
Total	5244247	300	2828	5247375
Total as a % of Total Voting rights	0.48	0	0	0.48
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.48	0	0	0.48
Number of Locked in shares (XII)				

No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	5244247	300	2828	5247375
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

