

General information about company

Scrip code	543942
NSE Symbol	UTKARSHBNK
MSEI Symbol	NA
ISIN	INE735W01017
Name of the company	Utkarsh Small Finance Bank Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2024
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	49	6.88
As on the end of previous 1st quarter	49	10.25
As on the end of previous 2nd quarter	49	10.6
As on the end of previous 3rd quarter	49	9.32
As on the end of previous 4th quarter	0	0

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	759272216			759272216	69.02	759272216		759272216	69.02
(B)	Public	215132	340870744			340870744	30.98	340870744		340870744	30.98
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	215133	1100142960			1100142960	100	1100142960		1100142960	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				69.02	219752029	28.94			759272216			
(B)	Public				30.98					340870744	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100	219752029	19.97			1100142960	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	759272216			759272216	69.02	759272216		759272216	69.02
Sub-Total (A) (1)		1	759272216			759272216	69.02	759272216		759272216	69.02
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A) (2)		1	759272216			759272216	69.02	759272216		759272216	69.02
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	7	77942555			77942555	7.08	77942555		77942555	7.08
(c)	Alternate Investment Funds	3	12860284			12860284	1.17	12860284		12860284	1.17
(e)	Insurance Companies	5	25855655			25855655	2.35	25855655		25855655	2.35
(i)	NBFCs registered with RBI	1	90000			90000	0.01	90000		90000	0.01
Sub-Total (B) (1)		16	116748494			116748494	10.61	116748494		116748494	10.61
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	13	10393252			10393252	0.94	10393252		10393252	0.94
(e)	Foreign Portfolio Investors Category II	1	15613			15613	0	15613		15613	0
Sub-Total (B) (2)		14	10408865			10408865	0.95	10408865		10408865	0.95
(3)	Central Government / State Government(s)										
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter	1	93535			93535	0.01	93535		93535	0.01
Sub-Total (B) (3)		1	93535			93535	0.01	93535		93535	0.01
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	1	483546			483546	0.04	483546		483546	0.04

(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	210802	95074814			95074814	8.64	95074814		95074814	8.64
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	549	35188896			35188896	3.2	35188896		35188896	3.2
(i)	Non Resident Indians (NRIs)	1406	3059962			3059962	0.28	3059962		3059962	0.28
(k)	Foreign Companies	5	62265567			62265567	5.66	62265567		62265567	5.66
(l)	Bodies Corporate	376	12729193			12729193	1.16	12729193		12729193	1.16
(m)	Any Other (specify)	1962	4817872			4817872	0.44	4817872		4817872	0.44
Sub-Total (B) (4)		215101	213619850			213619850	19.42	213619850		213619850	19.42
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		215132	340870744			340870744	30.98	340870744		340870744	30.98
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		215133	1100142960			1100142960	100	1100142960		1100142960	100
Total (A+B+C)		215133	1100142960			1100142960	100	1100142960		1100142960	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(d)				69.02	219752029	28.94			759272216			
Sub-Total (A)(1)				69.02	219752029	28.94			759272216			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				69.02	219752029	28.94			759272216			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				7.08					77942555	0	0	0
(c)				1.17					12860284	0	0	0
(e)				2.35					25855655	0	0	0
(i)				0.01					90000	0	0	0
Sub-Total (B)(1)				10.61					116748494	0	0	0
(2)	Institutions (Foreign)											
(d)				0.94					10393252	0	0	0
(e)				0					15613	0	0	0
Sub-Total (B)(2)				0.95					10408865	0	0	0
(3)	Central Government / State Government(s)											
(c)				0.01					93535	0	0	0
Sub-Total (B)(3)				0.01					93535	0	0	0
(4)	Non-institutions											
(b)				0.04					483546	0	0	0
(g)				8.64					95074814	0	0	0
(h)				3.2					35188896	0	0	0
(i)				0.28					3059962	0	0	0
(k)				5.66					62265567	0	0	0
(l)				1.16					12729193	0	0	0
(m)				0.44					4817872	0	0	0
Sub-Total (B)(4)				19.42					213619850	0	0	0

Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				30.98					340870744	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100	219752029	19.97			1100142960			
Total (A+B+C)				100	219752029	19.97			1100142960			
Disclosure of notes on shareholding pattern								Textual Information(1)				
Disclosure of notes on shareholding pattern for company remarks explanatory								Textual Information(1)				

Text Block

Textual Information()	<p>A) Table I - Summary Statement holding of specified securities - Promoter & Promoter Group: 759272216 Equity Shares are held by our Promoter exclusive of one Equity Share each is held by Mr. Govind Singh, Ms. Revati Govind Singh, Mr. Trilok Nath Shukla, Mr. Ashwani Kumar, Mr. Rahul Dey and Mr. Raghvendra Singh., as nominees on behalf of our Promoter, who is the beneficial owner of such Equity Shares. Except Mr. Govind Singh the above-mentioned individuals are categorized under "Resident Public Category"</p> <p>In terms of provisions of Section 12(2) of the Banking Regulation Act, 1949 (Banking Regulations) read with Gazette Notification DBR.PSBD. No.1084/16.13.100/2016-17 dated July 21, 2016 (Gazette Notification) and Reserve Bank of India Guidelines on Acquisition and Holding of Shares or Voting Rights in Banking Companies dated January 16, 2023 (RBI Guidelines); the voting rights of a Promoter of the Bank are restricted to 26% of the total voting rights in the Bank.</p> <p>B) Table I - Summary Statement holding of specified securities - Public: #Mr. Govind Singh holds 483546 Equity Shares in his individual capacity and 1 Equity Share as a nominee on behalf of our Promoter. Further, Mr. Rahul Dey holds 10,000 Equity Shares in his individual capacity and 1 Equity Share as a nominee on behalf of our Promoter.</p> <p>C) Table VI - Statement showing foreign ownership limits - Approved Limit: # Details of sectoral / statutory cap prescribed by Government / Regulatory Authorities</p>
Textual Information()	Multiple folios have been consolidated on the basis of PAN for the Public category.

Any Other (specify)

Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	UTKARSH COREINVEST LIMITED	
PAN (II)	██████████	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	759272216	759272216
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	759272216	759272216
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	69.02	69.02
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	759272216	759272216
Class eg:y		
Total	759272216	759272216
Total as a % of Total Voting rights	69.02	69.02
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	69.02	69.02
Number of Locked in shares (XII)		
No. (a)	219752029	219752029

As a % of total Shares held (b)	28.94	28.94
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	759272216	759272216
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Mutual Funds

Serial No.	1	2	
Name of the Shareholders (I)	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C	MIRAE ASSET BANKING AND FINANCIAL SERVICES FUND	Click here to go back
PAN (II)	██████████	AACTM0203B	Total
No. of fully paid up equity shares held (IV)	16916149	54397432	71313581
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	16916149	54397432	71313581
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.54	4.94	6.48
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	16916149	54397432	71313581
Class eg:y			
Total	16916149	54397432	71313581
Total as a % of Total Voting rights	1.54	4.94	6.48
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.54	4.94	6.48
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in	16916149	54397432	71313581

dematerialized form (XIV)			
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

Insurance Companies

Serial No.	1	
Name of the Shareholders (I)	BHARTI AXA LIFE INSURANCE COMPANY LTD	Click here to go back
PAN (II)	██████████	Total
No. of fully paid up equity shares held (IV)	12586538	12586538
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12586538	12586538
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.14	1.14
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	12586538	12586538
Class eg:y		
Total	12586538	12586538
Total as a % of Total Voting rights	1.14	1.14
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.14	1.14
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in	12586538	12586538

dematerialized form (XIV)		
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Foreign Companies

Serial No.	1	
Name of the Shareholders (I)	OLYMPUS ACF PTE LIMITED	Click here to go back
PAN (II)	██████████	Total
No. of fully paid up equity shares held (IV)	34201634	34201634
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	34201634	34201634
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.11	3.11
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	34201634	34201634
Class eg:y		
Total	34201634	34201634
Total as a % of Total Voting rights	3.11	3.11
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.11	3.11
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in	34201634	34201634

dematerialized form (XIV)		
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Any Other (specify)

Serial No.	1	2	3	
Category	HUF	Employees	Clearing Members	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				Click here to go back
PAN (II)				Total
No. of the Shareholders (I)	1958	1	3	1962
No. of fully paid up equity shares held (IV)	4817158	300	414	4817872
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4817158	300	414	4817872
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.44	0	0	0.44
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	4817158	300	414	4817872
Class eg:y				
Total	4817158	300	414	4817872
Total as a % of Total Voting rights	0.44	0	0	0.44
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.44	0	0	0.44
Number of Locked in shares (XII)				

No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	4817158	300	414	4817872
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

