

**General information about company**

Scrip code	543942
NSE Symbol	UTKARSHBNK
MSEI Symbol	NA
ISIN	INE735W01017
Name of the entity	Utkarsh Small Finance Bank Limited
Date of start of financial year	01-04-2024
Date of end of financial year	31-03-2025
Reporting Quarter	Half Yearly
Date of Report	30-09-2024
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities

**Annexure I****Annexure I to be submitted by listed entity on quarterly basis****I. Composition of Board of Directors**

Disclosure of notes on composition of board of directors explanatory							Textual Information(1)	
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							No	
Sr	Title (Mr / Ms)	Name of the Director		DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Parveen Kumar Gupta		02895343	Non-Executive - Independent Director	Chairperson		13-03-1960
2	Mr	Ajay Kumar Kapur		00108420	Non-Executive - Independent Director	Not Applicable		25-10-1959
3	Mr	Chandra Shekhar Thanvi		00563531	Non-Executive - Nominee Director	Not Applicable		20-07-1964
4	Mr	Kajal Ghose		07702190	Non-Executive - Independent Director	Not Applicable		25-10-1956
5	Mrs	Kalpana Prakash Pandey		06715713	Non-Executive - Independent Director	Not Applicable		30-06-1968
6	Mr	Muralidharan Rajamani		01690363	Non-Executive - Non Independent Director	Not Applicable		31-05-1961
7	Mr	Nagesh Dinkar Pinge		00062900	Non-Executive - Independent Director	Not Applicable		01-10-1958
8	Mr	Govind Singh		02470880	Executive Director	Not Applicable	CEO-MD	15-05-1965
9	Mr	Pramod Kumar Dubey		10174154	Executive Director	Not Applicable		15-07-1972

**I. Composition of Board of Directors****Disqualification of Directors under section 164 of the Companies Act, 2013**

Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active
9	No				

**I. Composition of Board of Directors**

Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
1	No		01-09-2021	01-09-2021		37	2	2	6	2			
2	No		02-03-2021	02-03-2021		43	1	1	3	0			
3	No		30-09-2021	30-09-2021	20-09-2024	36	0	0	0	0	Tenure Completion		
4	No		16-01-2017	16-01-2022		93	1	1	1	0			
5	No		29-04-2020	29-04-2020		53	1	1	2	0			
6	No		02-03-2021	03-03-2021		43	1	0	2	1			
7	No		20-07-2020	20-07-2020		50	5	5	8	5			
8	No		30-04-2016	21-09-2021		101	1	0	1	0			
9	NA		20-09-2024	20-09-2024		1	1	0	0	0			

**Text Block**

Textual Information(1)

1. In compliance with the RBI circular Mr. Pramod Kumar Dubey (DIN: 10174154) has been appointed as Additional Whole Time Director (Executive) of the Bank for a period of 3 years, subject to approval of the shareholders.
2. The date of re-appointment for Mr. Murlidharan Rajamani (DIN - 01690363) is the date of EGM on which he was first appointed.

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00062900	Nagesh Dinkar Pinge	Non-Executive - Independent Director	Chairperson	20-07-2020		Textual Information(1)
2	00108420	Ajay Kumar Kapur	Non-Executive - Independent Director	Member	31-05-2021		
3	07702190	Kajal Ghose	Non-Executive - Independent Director	Member	25-05-2019		
4	06715713	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	23-10-2020		

**Sr Text Block**

Textual Information(1)

Mr. Nagesh Dinkar Pinge was appointed as a Chairperson of the Audit Committee effective from 23-10-2020

<b>Nomination and remuneration committee</b>							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07702190	Kajal Ghose	Non-Executive - Independent Director	Chairperson	24-09-2018		
2	01690363	Muralidharan Rajamani	Non-Executive - Non Independent Director	Member	23-03-2022		
3	02895343	Parveen Kumar Gupta	Non-Executive - Independent Director	Member	04-11-2021		



Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01690363	Muralidharan Rajamani	Non-Executive - Non Independent Director	Chairperson	31-05-2021		
2	00108420	Ajay Kumar Kapur	Non-Executive - Independent Director	Member	04-11-2021		
3	06715713	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	31-05-2021		
4	02470880	Govind Singh	Executive Director	Member	04-11-2021		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00108420	Ajay Kumar Kapur	Non-Executive - Independent Director	Chairperson	31-05-2021		
2	06715713	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	07-05-2020		Textual Information(1)
3	01690363	Muralidharan Rajamani	Non-Executive - Non Independent Director	Member	31-05-2021		
4	02895343	Parveen Kumar Gupta	Non-Executive - Independent Director	Member	04-11-2021		
5	02470880	Govind Singh	Executive Director	Member	04-11-2021		

**Sr Text Block**

Textual Information(1)

Ms. Kalpana Prakash Pandey was initially appointed as a Chairperson of the Risk Management Committee effective from 23-10-2020

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01690363	Muralidharan Rajamani	Non-Executive - Non Independent Director	Chairperson	31-05-2021		Textual Information(1)
2	00108420	Ajay Kumar Kapur	Non-Executive - Independent Director	Member	04-11-2021		
3	06715713	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	07-05-2020		Textual Information(2)

**Sr Text Block**

Textual Information(1)	Mr. Muralidharan Rajamani was appointed as a Chairperson of the Corporate Social Responsibility Committee effective from 23-07-2021
Textual Information(2)	Mrs. Kalpana Prakash Pandey was appointed as a Chairperson of the Committee effective from 20-07-2021.

<b>Other Committee</b>						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

**Annexure 1****Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	26-04-2024				Yes	8	8	5
2	15-06-2024		49		Yes	8	8	5
3		03-08-2024	48		Yes	8	7	5
4		14-08-2024	10		Yes	8	8	5
5		20-09-2024	36		Yes	9	8	5

**Annexure 1**

**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	26-04-2024				Yes	4	4	4	0
2	Audit Committee	14-06-2024	48			Yes	4	4	4	0
3	Audit Committee	03-08-2024	49			Yes	4	4	4	0
4	Audit Committee	14-08-2024	10			Yes	4	4	4	0
5	Audit Committee	19-09-2024	35			Yes	4	4	4	0
6	Stakeholders Relationship Committee	04-06-2024				Yes	4	4	2	0



**Annexure 1**

**IV. Meeting of Committees**

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Stakeholders Relationship Committee	13-09-2024	100			Yes	4	4	2	0
8	Nomination and remuneration committee	26-04-2024				Yes	3	3	2	0
9	Nomination and remuneration committee	15-06-2024	49			Yes	3	3	2	0
10	Nomination and remuneration committee	14-08-2024	59			Yes	3	3	2	0
11	Nomination and remuneration committee	31-08-2024	16			Yes	3	3	2	0
12	Nomination and remuneration committee	13-09-2024	12			Yes	3	3	2	0
13	Corporate Social Responsibility Committee	04-06-2024				Yes	3	3	2	0
14	Risk Management Committee	05-06-2024	0			Yes	5	5	3	0
15	Risk Management Committee	14-08-2024	69			Yes	5	5	3	0
16	Risk Management Committee	19-09-2024	35			Yes	5	5	3	0

**Annexure 1**

**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

## Annexure 1

### VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

**Annexure 1**

Sr	Subject	Compliance status
1	Name of signatory	Muthiah Ganapathy
2	Designation	Company Secretary and Compliance Officer

### Annexure III

#### III. Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes	
6	Submission of Annual Secretarial Compliance Report	24A(2)	Yes	
7	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
6				

**Annexure III**

1	Name of signatory	Muthiah Ganapathy
2	Designation	Company Secretary and Compliance Officer

<b>Additional Half yearly Disclosure</b>		
Applicability of disclosure	Not Applicable	
Reason for Non Applicability	Textual Information(1)	

<b>Text Block</b>	
Textual Information(1)	Not applicable



<b>Details of Cyber security incidence</b>		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

**Signatory Details**

Name of signatory	Muthiah Ganapathy
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	21-10-2024

