

General information about company

Scrip code	543942
NSE Symbol	UTKARSHBNK
MSEI Symbol	NA
ISIN	INE735W01017
Name of the entity	Utkarsh Small Finance Bank Limited
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Quarterly
Date of Report	31-12-2023
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I**Annexure I to be submitted by listed entity on quarterly basis****I. Composition of Board of Directors**

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson Yes

Whether Chairperson is related to MD or CEO No

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Parveen Kumar Gupta	██████████	02895343	Non-Executive - Independent Director	Chairperson		13-03-1960
2	Mr	Ajay Kumar Kapur	██████████	00108420	Non-Executive - Independent Director	Not Applicable		25-10-1959
3	Mr	Chandra Shekhar Thanvi	██████████	00563531	Non-Executive - Nominee Director	Not Applicable		20-07-1964
4	Mr	Kajal Ghose	██████████	07702190	Non-Executive - Independent Director	Not Applicable		25-10-1956
5	Mrs	Kalpana Prakash Pandey	██████████	06715713	Non-Executive - Independent Director	Not Applicable		30-06-1968
6	Mr	Muralidharan Rajamani	██████████	01690363	Non-Executive - Non Independent Director	Not Applicable		10-05-1961
7	Mr	Nagesh Dinkar Pinge	██████████	00062900	Non-Executive - Independent Director	Not Applicable		01-10-1958
8	Mr	Govind Singh	██████████	02470880	Executive Director	Not Applicable	CEO-MD	15-05-1965

I. Composition of Board of Directors

Disqualification of Directors under section 164 of the Companies Act, 2013

Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active

I. Composition of Board of Directors

Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	No		01-09-2021	01-09-2021		27	1	1	6	1		
2	No		02-03-2021	02-03-2021		33	1	1	3	0		
3	No		30-09-2021	04-03-2022		27	1	0	0	0		
4	No		16-01-2017	16-01-2022		83	1	1	1	0		
5	No		29-04-2020	29-04-2020		44	1	1	2	0		
6	No		02-03-2021	03-03-2021		33	1	0	1	1		
7	No		20-07-2020	20-07-2020		41	4	4	8	4		
8	No		30-04-2016	21-09-2021		92	1	0	1	0		

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	Textual Information(1)

Annexure 1 Text Block

Textual Information(1)

As the Bank does not fall under Top 1000 Companies (Market Capitalization) as on March 31, 2023, the requirement of forming Risk Management Committee (RMC) is not applicable.
However, in line with the RBI norms the Bank has constituted RMC

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00062900	Nagesh Dinkar Pinge	Non-Executive - Independent Director	Chairperson	20-07-2020		Textual Information(1)
2	00108420	Ajay Kumar Kapur	Non-Executive - Independent Director	Member	31-05-2021		
3	07702190	Kajal Ghose	Non-Executive - Independent Director	Member	25-05-2019		
4	06715713	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	23-10-2020		

Sr Text Block

Textual Information(1)

Mr. Nagesh Dinkar Pinge was appointed as a Chairperson of the Audit Committee effective from 23-10-2020

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07702190	Kajal Ghose	Non-Executive - Independent Director	Chairperson	24-09-2018		
2	01690363	Muralidharan Rajamani	Non-Executive - Non Independent Director	Member	23-03-2022		
3	02895343	Parveen Kumar Gupta	Non-Executive - Independent Director	Member	04-11-2021		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01690363	Muralidharan Rajamani	Non-Executive - Non Independent Director	Chairperson	31-05-2021		
2	00108420	Ajay Kumar Kapur	Non-Executive - Independent Director	Member	04-11-2021		
3	06715713	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	31-05-2021		Textual Information(1)
4	02470880	Govind Singh	Executive Director	Member	04-11-2021		

Sr Text Block

Textual Information(1)

Ms. Kalpana Prakash Pandey was initially appointed as a Chairperson of Stakeholders' Relationship Committee effective from 07-05-2020 and later on appointed a member.

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00108420	Ajay Kumar Kapur	Non-Executive - Independent Director	Chairperson	31-05-2021		
2	06715713	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	07-05-2020		Textual Information(1)
3	01690363	Muralidharan Rajamani	Non-Executive - Non Independent Director	Member	31-05-2021		
4	02895343	Parveen Kumar Gupta	Non-Executive - Independent Director	Member	04-11-2021		
5	02470880	Govind Singh	Executive Director	Member	04-11-2021		

Sr Text Block

Textual Information(1)

Ms. Kalpana Prakash Pandey was initially appointed as a Chairperson of the Risk Management Committee effective from 23-10-2020

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01690363	Muralidharan Rajamani	Non-Executive - Non Independent Director	Chairperson	31-05-2021		Textual Information(1)
2	00108420	Ajay Kumar Kapur	Non-Executive - Independent Director	Member	04-11-2021		
3	06715713	Kalpna Prakash Pandey	Non-Executive - Independent Director	Member	07-05-2020		Textual Information(2)

Sr Text Block

Textual Information(1)	Mr. Muralidharan Rajamani was appointed as a Chairperson of the Corporate Social Responsibility Committee effective from 23-07-2021
Textual Information(2)	Mrs. Kalpana Prakash Pandey was appointed as a Chairperson of the Committee effective from 20-07-2021.

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1**Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	06-07-2023				Yes	8	7	4
2	17-07-2023		10		Yes	8	8	4
3	24-07-2023		6		Yes	8	7	4
4	08-08-2023		14		Yes	8	8	4
5	23-09-2023		45		Yes	8	8	4
6		04-11-2023	41		Yes	8	7	4
7		20-12-2023	45		Yes	8	8	4

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	06-07-2023				Yes	4	4	4	0
2	Audit Committee	08-08-2023	32			Yes	4	4	4	0
3	Audit Committee	22-09-2023	44			Yes	4	4	4	0
4	Audit Committee	04-11-2023	42			Yes	4	4	4	0
5	Audit Committee	14-12-2023	39			Yes	4	4	4	0
6	Nomination and remuneration committee	07-08-2023				Yes	3	3	2	0

Annexure 1

IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Nomination and remuneration committee	21-09-2023	44			Yes	3	3	2	0
8	Stakeholders Relationship Committee	16-09-2023				Yes	4	4	2	0
9	Stakeholders Relationship Committee	15-12-2023	89			Yes	4	4	2	0
10	Corporate Social Responsibility Committee	16-09-2023				Yes	3	3	2	0

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Mr. Muthiah Ganapathy
2	Designation	Company Secretary and Compliance Officer

Text Block

Textual Information(1)

For point no. 5 -
As the Bank does not fall under Top 1000 Companies (Market Capitalization) as on March 31, 2023, the requirement of forming Risk Management Committee (RMC) is not applicable.
However, in line with the RBI norms the Bank has constituted RMC.

Details of Cyber security incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter

No

Signatory Details

Name of signatory	Muthiah Ganapathy
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	16-01-2024

